



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re P	ATENT application of:)	
Joseph	B. Sainton et al.)	Art Unit: 2685
Serial	No. 09/670,696)	Examiner: Trinh, Sonny
Filed:	September 28, 2000)	
For:	ADAPTIVE OMNI-MODAL RADIO)	
	APPARATUS AND METHODS)	

INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Technology Center 2600

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the references listed on the attached Forms PTO/SB/08A and 08B be made of record in the above-identified application. Copies of the references are attached.

This Statement is being submitted under the provisions of 37 C.F.R § 1.97(c)(2). The fee specified in 37 C.F.R § 1.17(p) is attached.

3. Lieden, Jr

Respectfully submitted,

Charles M. Leedom, Jr.

Reg. No. 26,477

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PTO/SB/08A (08-03)

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Substitute or form 1449/7

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/670,696 con. 8478	
Filing Date	September 28, 2000	
First Named Inventor	J. SAINTON, et al.	
Art Unit	2685	
Examiner Name	S. Trinh	
Attorney Docket Number	301-396	

			U. S. PATENT	DOCUMENTS	
Examiner Cite Initials* No.		MM-DD-YYY	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (# known)}		1	· · · · · · · · · · · · · · · · · · ·
		^{US-} 5745523	04-28-1998	Dent, et al.	
		^{US-} 5802502	09-01-1998	Gell, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
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Examiner	 Date	•
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PCO PADE Complete if Known **Application Number** 09/670,696 con.8478 INFORMATION DISCLOSURE Filing Date September 28, 2000 STATEMENT BY APPLICANT **First Named Inventor** J SAINTON, et al. Art Unit 2685 (Use as many sheets as necessary) **Examiner Name** S. Trinh **Attorney Docket Number** Sheet 12 2 301-396

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Canadian Patent Appl. No. 2179151, Official Action dated May 18, 2004, 5 pages	
		Europoean Patent Appl. No. 95 908 417.9-2412, Official Action dated September 16, 2003, 10 pages	
		European Patent Appl. No. 95 908 417.9-2412, Official Action dated May 3, 2004, 4 pages	
		Prospective Licensee Invalidity Claim Charts- (15 pages)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.